



Funding Local Programs

Running an AEFLA Competition Under WIOA

Objectives

- Identify statutory and regulatory provisions affecting the State's process for funding local programs with AEFLA funds
- Identify eligibility criteria for prospective applicants
- Discuss the ways that organizations can demonstrate effectiveness
- Identify other relevant grant procedures for funding local programs
- Review the appeals process

AEFLA Regulations: Subpart C – How Does a State Make an Award to Eligible Providers

- Contains Seven Rules
 - Process State must follow in making award (463.20)
 - Process to determine extent of alignment with local workforce plan (463.21)
 - What must be included in the application (463.22)
 - Who is eligible to apply (463.23)
 - Establishing demonstrated effectiveness (463.24)
 - Local administrative cost limits (463.25)
 - Activities considered local administrative costs (463.26)

Process State must follow in making award (463.20)

- Must award competitive multiyear grants or contracts to eligible providers...to enable eligible providers to develop, implement, and improve adult education and literacy activities

Process for Awards (cont.)

In conducting the competitive grant program, the eligible agency must ensure:

- All eligible providers have direct and equitable access to apply and compete for grants or contracts
- Same grant/contract announcement and application processes are used for all eligible providers

Process for Awards (cont.)

The eligible agency must consider 463.20(d) (1) through (13):

- Revises the 12 factors under WIA
- Adds one factor for consideration
 - NEW FACTOR → The extent to which the eligible provider demonstrates alignment between proposed activities and services and the strategy and goals of the local plan. (463.20(d)(4))

Process to determine extent of alignment with local workforce plan (463.21)

- Establish within its grant/contract competition a process that provides for the submission of all applications for funds under AEFLA to appropriate local boards.

Process for Alignment:

- 1) Submission of the applications to the appropriate local board for its review for consistency with the local plan within the appropriate timeframe.
- 2) An opportunity for the local board to make recommendations to the eligible agency to promote alignment with the local plan.
- 3) The eligible agency must consider the results of the review in the local board in determining the extent to which the application addresses the required considerations in §463.20.

What must be included in the application (463.22)

- ✓ How funds will be spent
- ✓ Description of cooperative arrangements
- ✓ How the it will provide services in alignment with local plan, including promoting co-enrollment programs under title I
- ✓ How it will meet adjusted levels of performance and collect performance data
- ✓ How it will fulfill one-stop partner responsibilities
- ✓ Description of how it will provide services to meet the needs of eligible individuals
- ✓ Information that addresses the 13 considerations
- ✓ Documentation of activities related to local board review

Who is eligible to apply (463.23)

An organization that has demonstrated effectiveness in providing adult education and literacy activities is eligible to apply for a grant or contract. These organizations may include, but are not limited to:

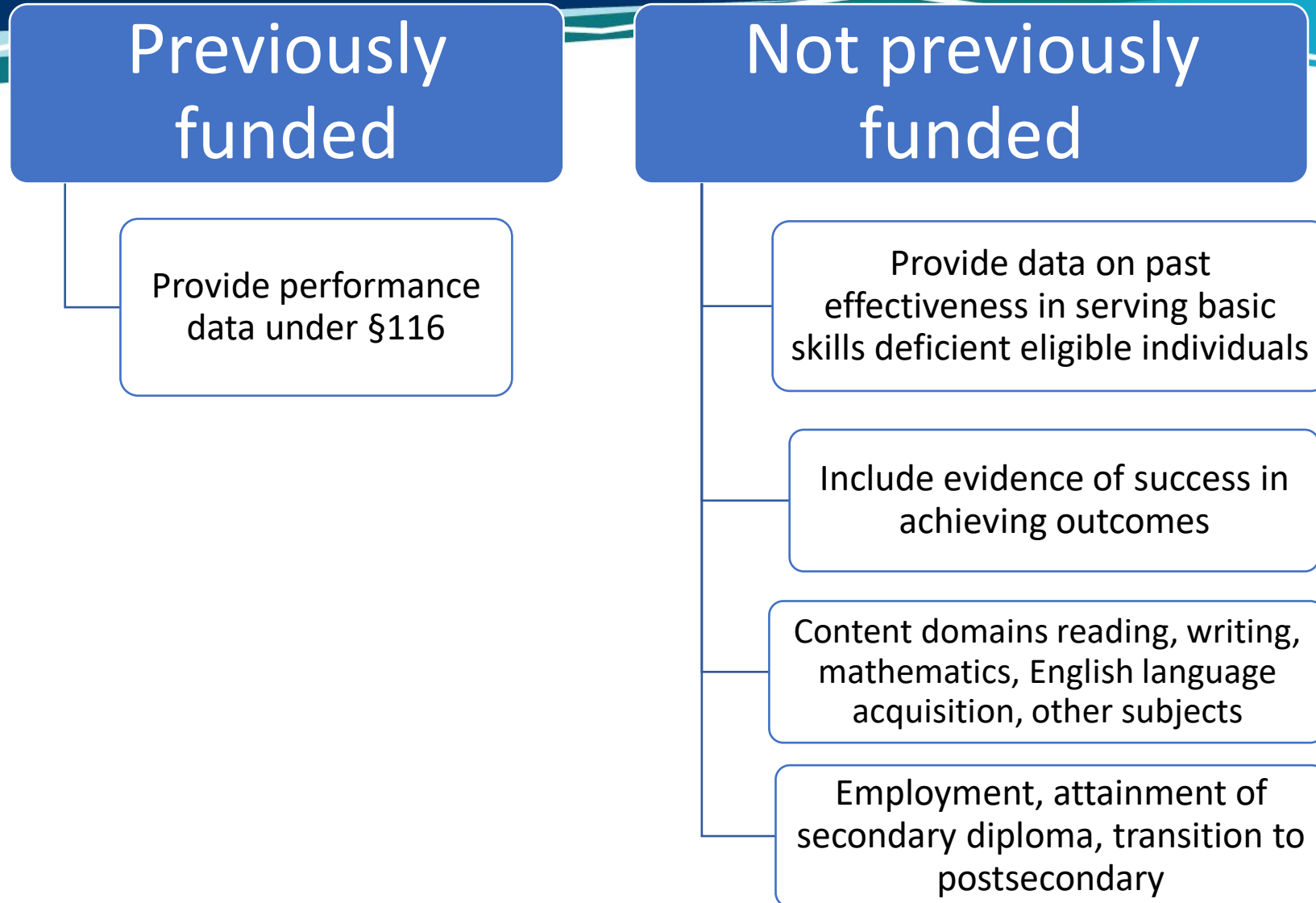
Eligibility (cont.)

- a) A local educational agency;
- b) A community-based organization or faith-based organization;
- c) A volunteer literacy organization;
- d) An institution of higher education;
- e) A public or private nonprofit agency;
- f) A library;
- g) A public housing authority;
- h) A nonprofit institution that is not described in any of paragraphs (a) through (g) and has the ability to provide adult education and literacy activities to eligible individuals;
- i) A consortium or coalition of the agencies, organizations, institutions, libraries, or authorities described in any of paragraphs (a) through (h); and
- j) A partnership between an employer and an entity described in any of paragraphs (a) through (i).

Establishing demonstrated effectiveness (463.24)

(a) For the purposes of this section, an eligible provider must demonstrate past effectiveness by providing performance data on its record of improving the skills of eligible individuals, particularly eligible individuals who have low levels of literacy, in the content domains of reading, writing, mathematics, English language acquisition, and other subject areas relevant to the services contained in the State's application for funds. An eligible provider must also provide information regarding its outcomes for participants related to employment, attainment of secondary school diploma or its recognized equivalent, and transition to postsecondary education and training.

Two Ways to Demonstrate Effectiveness 463.24(b)



Local administrative cost limits (463.25)

- Not more than 5% of a local grant to an eligible provider can be expended to administer a grant or contract under title II.
- In cases where 5% is too restrictive to allow for administrative activities, the eligible provider must negotiate with the eligible agency to determine an adequate level of funds to be used for non-instructional purposes.

Activities considered local administrative costs (463.26)

WIA

- Planning
- Administration
- Personnel development
- Interagency coordination



WIOA

- Planning
- Administration including carrying out performance requirements
- Professional development
- Providing AEL activities in alignment with local plans
- Carrying out one-stop partner activities, including contributing to infrastructure costs of one-stop

Key Processes

- ✓ Forming your team
- ✓ Developing a timeline, with key dates
- ✓ Drafting RFP and scoring guidelines
- ✓ RFP dissemination and outreach
- ✓ Recruiting reviewers and managing the review process
- ✓ Sending applications to local boards for review for alignment
- ✓ Post-panel and award procedures
- ✓ Appeals

Appeals (State Education Agency Only)

- Under EDGAR, a State Education Agency (SEA) must offer a hearing ***before*** disapproving an AEFLA application
- An unsuccessful applicant can request hearing within 30 days of action by the SEA
- The SEA must hold hearing within 30 days of receipt of request
- The SEA must issue written ruling within 10 days of hearing
- If the SEA upholds its decision, an applicant has 20 days to appeal to the federal Secretary of Education

Table Exercise and Group Discussion



Funding Local Programs

**For Technical Assistance
Contact your Area Coordinator:**

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