



Creating and Implementing a Vision: WIOA State Plans

Objectives

- To understand State plan basics - purpose, programs, elements, and submission
- To provide an overview of the modifications requirement
- To provide information about accessing the State plan portal and viewing published State plans



STATE PLAN BASICS

State Plan Governing Authority and Purpose

Governor must submit a four year plan to Secretary of Labor to be eligible for core programs to receive allotments.

Two options for submitting a State plan:

- Unified Plan § 102
- Combined Plan § 103

State plans communicate the State's vision for workforce system and are used to align and integrate the system across federal programs.

A State Plan Must Include Six Core Programs

- the Adult program (title I of WIOA)
- the Dislocated Worker program (title I)
- the Youth program (title I)
- the Adult Education and Family Literacy Act program (title II)
- the Wagner-Peyser Act program (Wagner-Peyser Act, as amended by title III)
- the Vocational Rehabilitation program (title I of the Rehabilitation Act of 1973, as amended by title IV)

WIOA Combined Plans May Include:

- Career and technical education programs (Perkins)
- Temporary Assistance for Needy Families program (TANF)
- Supplemental Nutrition Assistance Program (SNAP)
- Work programs authorized under section 6(o) of the Food and Nutrition Act of 2008
- Trade Adjustment Assistance for Workers programs
- Jobs for Veterans State Grants Program (JVSG)
- Unemployment Insurance programs
- Senior Community Service Employment (SCSEP)
- Employment and training activities carried out by the Department of Housing and Urban Development
- Community Services Block Grant (CBSG)
- Reintegration of Ex-Offenders program (Re-Exo)

State Plan Submission and Review Process

- Subject to public review and comment
- Submitted through the Governor's office
- Reviewed by interagency teams of core programs staff and, if applicable, partner programs staff
- Approved by the Secretaries of Education and Labor

First WIOA State Plans

- Four-year plans were submitted in Spring 2016
- Approval process included all federal core programs and optional partner programs
- Plans were "conditionally approved" on July 1, 2016
- States submitted required revisions in October 2016
- Some States submitted "action plans" to address deficiencies that required more time to resolve

Information Collection Request

- Allows federal government to collect information from States
- Outlines the required elements for Unified and Combined Plans and modifications
- Undergoes public comment period prior to release

How the State Plan is Organized

- Strategic Planning Elements
- Operational Planning Elements

Strategic Planning Elements of a State Plan

This section includes:

- Economic, Workforce, and Workforce Development Activities
- State Strategic Vision and Goals
- State Strategy

These analyses drive the required vision and goals for the State's workforce development system and alignment strategies for workforce development programs to support economic growth.

Operational Planning Elements of a State Plan

This section includes:

- State Strategy Implementation
- State Operating Systems and Policies
- Assurances
- Program-Specific Requirements for the Core Programs
- Program-Specific Requirements for the Combined State Plan Partner Programs

Creating High Quality Elements

Use of factors that are encouraged in developing high quality plans:

- Incorporating WIOA's key principles and Departments' policy priorities
- Using labor market data and workforce information to ensure customers have high quality information available for their decision making
- Including robust analysis (workforce development, education, training activities)
- Considering how to accomplish program alignment and integration of services

Collaborative Planning

Keys to strong plans:

- Joint planning and coordination across core programs, required one-stop partners, and combined partners
- Agency review and feedback for each program
- Opportunity for public comment



STATE PLAN MODIFICATIONS

State Plan Modifications

- Each State is required to submit plan modifications in spring 2018
- A State may submit a modification at any time during the four-year period of the plan

What Triggers a Modification?

- Changes in labor market and economic conditions
- Other factors affecting the implementation of the State plan
- Changes in policy that affect the statewide visions, strategies, goals, and priorities that the Plan is based on
- Changes in statewide vision, strategies, policies, levels of performance
- Funding allocation methodology
- Reorganizations

Modification Process

- Subject to public review and comment
- Submitted through the Governor's office
- Reviewed by interagency teams of core programs staff and, if applicable, partner programs staff
- Approved by the Secretaries of Education and Labor

Performance Requirements in the Modification

- States must include *proposed* levels of performance for PY 2018 and PY 2019 in their modification



STATE PLAN PORTAL INFORMATION

How to Request a Portal Account

- Go to RSA website <https://rsa.ed.gov/>
- Follow the five step process to request an account
- All State Directors should request and maintain an account
- State staff may request an account and DAEL will confirm the request with the State Director

Using the Portal

- Material developed prior to the portal's availability can be copied into the appropriate sections of the portal.
- The portal will allow users to paste and save content into the appropriate section of a plan.
- Saving information into one section of a plan will not effect users working on other sections of the plan.

Using the Portal

- Users will have access to the relevant sections of the plan. The program-specific elements within each plan can be completed by staff within the State independent of the other sections of the plan.
- For example, the Adult Education & Literacy Program's section of the State plan can be developed by the authorized staff in the State.

Using the Portal

- Once the State is finished modifying its Unified or Combined State Plan, the State will be able to submit the entire plan to the Departments through the portal.
- Once submitted, the plan will be available to the Department of Labor, the Department of Education, and any other Federal agency that may be included in the Plan.
- The submission date and date the plan is received will be the same.

Review

- The first WIOA State plans were submitted in spring 2016.
- Plans contain strategic and operational elements.
- All States are required to submit modifications in spring 2018.
- State plans are submitted via an online portal.
- All State plans are published and can be found at <https://www2.ed.gov/about/offices/list/opers/ras/wioa/state-plans/index.html>