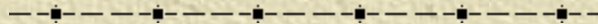


Funding Local Programs

Staying on Course with AEFLA's Requirements

**2013 New State Directors Workshop
Arlington, VA
November 4-6, 2013**



Objectives

- ✦ Identify statutory and regulatory provisions affecting the State's process for funding and monitoring local programs from AEFLA funds
- ✦ Discuss common compliance "holes" to avoid when funding and monitoring local programs
- ✦ Identify connections between procedures for funding local programs and other key AEFLA processes

*Section 224(b)(2)—State Plan Includes
Description of Allowable Funded Activities*

- ✦ State Plan Contents—The eligible agency shall include in the State plan a description of the adult education and literacy activities that will be carried out with any funds

Local Funding Requirements

- ✦ Eligible Applicants
- ✦ State Imposed Requirements
- ✦ Multi-Year Grants
- ✦ Required Local Activities
- ✦ Direct and Equitable
- ✦ 12 Considerations
- ✦ Local Application Contents
- ✦ Administrative Cost

Section 203(5) — Definitions: Eligible Provider

The term “eligible provider” means—

- ✦ Local education agency
- ✦ Community-based organization of demonstrated effectiveness
- ✦ Volunteer literacy organization of demonstrated effectiveness
- ✦ Institution of higher learning
- ✦ Public or private nonprofit agency
- ✦ Library
- ✦ Public housing authority
- ✦ Nonprofit institution that is not described [above]... and has the ability to provide literacy services to adults and families
- ✦ Consortium of the agencies, organizations, institutions, libraries, authorities described above

Section 223(c) — State Imposed Requirements

- ✦ Whenever a State or outlying area implements an adult education rule or policy that is not a Federal requirement, it must clearly identify it as a State or outlying area requirement.

Section 231(a) - Multiyear Grants on a Competitive Basis

- ✦ States award multiyear grants or contracts
- ✦ Awards must be made on a competitive basis, to eligible providers

Section 231(b) — Required Local Activities

Eligible providers receiving a grant must establish or operate programs that provide:

- Adult education and literacy, including workplace literacy
- Family literacy
- English language instruction

Section 231(c) — Direct and Equitable Access; Same Process

State shall ensure that:

- ✦ All eligible providers have direct and equitable access to apply for grants or contracts under this section
- ✦ Same grant or contract announcement process is used for all eligible providers

Section 231(e) — The 12 Considerations

✦ In awarding grants or contracts under this section, the eligible agency must consider each of twelve specific areas:

SEE HANDOUT

Section 232 – Local Applications

✦ Applicants for AEFLA grants must describe:

- how the funds will be spent on allowable activities
- any cooperative arrangements with other agencies or institutions to deliver adult education and literacy in the State

Section 233(a) — Local Administrative Cost Limits: General

Local award spending:

- Not less than 95 percent for adult education and literacy activities (i.e., instruction)
- Not more than 5 percent for planning, administration, personnel development, and interagency coordination

Section 233(b) — Local Administrative Cost Limits: Exception

- ✦ **Special Rule** — When limits are **too restrictive** to allow for adequate planning and administration, the provider may **negotiate** with the State to determine an **adequate** level of funds for noninstructional purposes

OVAE-Related Key Planning Dates

September 2013

Last day to obligate funds from FFY 2010 (9/30/12)

October 2013

OVAE Issues
Instructions on Annual Reporting Requirements (10/1/13)

November 2013

December 2013

Deadline for Annual Reports (12/31/13) --
NRS Tables
Narrative Report
Assessment Policy
Data Quality Checklist
Financial Status Reports

OVAE Issues 2014 State Plan Extension Guidance

January 2014

February 2014

OVAE and States Begin Negotiating Program Year 2014-2015 Performance Targets

OVAE-Related Key Planning Dates

(continued)

March 2014

Complete Performance Negotiations with OVAE for Program Year 2014-2015 Performance Targets (approx. 3/31/14)

April 2014

State Plan revision for Program Year 2013-2014 (4/1/14)

Notice of States Qualifying for Incentive Grants and DOL application process/deadline published in Federal Register (approx. mid-April)

May 2014

June 2014

July 2014

OVAE issues PY 2014-2015 State Grant Awards

August 2014

Funding and Monitoring Local Programs

**For Technical Assistance
Contact your Area Coordinator:**

- ✦ Area 1: Teresa Bestor
- ✦ Area 2: Sharon L. Harrington
- ✦ Area 3: Rebecca J. Moak
- ✦ Areas 4 and 5: Zina Watkins