

Funding Local Programs

Staying on Course with AEFLA's Requirements

2012 State Directors Workshop
Washington, DC
April 3-5, 2012

Objectives

- * Identify statutory and regulatory provisions affecting the State's process for funding and monitoring local programs from AEFLA funds
- * Discuss common compliance “holes” to avoid when funding and monitoring local programs
- * Identify connections between procedures for funding local programs and other key AEFLA processes



Section 224(b)(2)—State Plan Includes Description of Allowable Funded Activities

- * State Plan Contents—The eligible agency shall include in the State plan a description of the adult education and literacy activities that will be carried out with any funds




Local Funding Requirements

- * Eligible Applicants
- * State Imposed Requirements
- * Multi-Year Grants
- * Required Local Activities
- * Direct and Equitable
- * 12 Considerations
- * Local Application Contents
- * Administrative Cost

Section 203(5) — Definitions: Eligible Provider

The term “eligible provider” means—

- * Local education agency
- * Community-based organization of demonstrated effectiveness
- * Volunteer literacy organization of demonstrated effectiveness
- * Institution of higher learning
- * Public or private nonprofit agency
- * Library
- * Public housing authority
- * Nonprofit institution that is not described [above]... and has the ability to provide literacy services to adults and families
- * Consortium of the agencies, organizations, institutions, libraries, authorities described above



Section 223(c) — State Imposed Requirements

- * Whenever a State or outlying area implements an adult education rule or policy that is not a Federal requirement, it must clearly identify it as a State or outlying area requirement.



Section 231(a) - Multiyear Grants on a Competitive Basis


- * States award multiyear grants or contracts
- * Awards must be made on a competitive basis, to eligible providers



Section 231(b) — Required Local Activities

Eligible providers receiving a grant must establish or operate programs that provide:


- * Adult education and literacy, including workplace literacy
- * Family literacy
- * English literacy



Section 231(c) — Direct and Equitable Access; Same Process

State shall ensure that:

- * All eligible providers have direct and equitable access to apply for grants or contracts under this section
- * Same grant or contract announcement process is used for all eligible providers



Section 231(e) — The 12 Considerations

- * In awarding grants or contracts under this section, the eligible agency must consider each of twelve specific areas:

SEE HANDOUT



Section 232 – Local Applications

- * Applicants for AEFLA grants must describe:
 - * how the funds will be spent on allowable activities
 - * any cooperative arrangements with other agencies or institutions to deliver adult education and literacy in the State

Section 233(a) — Local Administrative Cost Limits: General

Local award spending:

- * Not less than 95 percent for adult education and literacy activities (i.e., instruction)
- * Not more than 5 percent for planning, administration, personnel development, and interagency coordination



Section 233(b) — Local Administrative Cost Limits: Exception

- * **Special Rule** — When limits are **too restrictive** to allow for adequate planning and administration, the provider may **negotiate** with the State to determine an **adequate** level of funds for noninstructional purposes

OVAE-Related Key Planning Dates

September 2012

Last day to obligate funds from FFY 2010 (9/30/12)

October 2012

OVAE Issues Instructions on Annual Reporting Requirements (10/1/12)

November 2012

December 2012

Deadline for Annual Reports (12/31/12) --
NRS Tables
Narrative Report
Assessment Policy
Data Quality Checklist
Financial Status Reports
OVAE Issues State
Plan Extension Guidance

January 2013

February 2013

OVAE and States Begin Negotiating Program Year
2013-2014 Performance Targets

OVAE-Related Key Planning Dates

March 2013

Complete Performance Negotiations with OVAE for Program Year 2013-2013 Performance Targets (approx. 3/31/13)

April 2013

State Plan revision for Program Year 2013-14 (4/1/13)

Notice of States Qualifying for Incentive Grants and DOL application process/deadline published in Federal Register (approx. mid-April)

May 2013

June 2013

July 2013

OVAE issues FFY 2013 State Grant Awards

August 2013

Funding and Monitoring Local Programs

**For Technical Assistance
Contact your Area Coordinator:**

- * Area I - Teresa Bestor
- * Area II - Sharon G. Lankford
- * Area III - Rebecca J. Moak
- * Areas IV and V - Carolyn Buser